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DATE MAILED: 10/07/2003

| APPLICATION NO. FILING DATE        |                       | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|------------------------------------|-----------------------|------------|----------------------|------------------------|------------------|
| 09/489,711                         | 09/489,711 01/24/2000 |            | David S. Roberts     | PC10299A               | 6167             |
| 23913                              | 7590                  | 10/07/2003 |                      | EXAM                   | INER             |
| PFIZER INC<br>150 EAST 42ND STREET |                       |            |                      | DEVI, SARVAMANGALA J N |                  |
| 5TH FLOOR - STOP 49                |                       |            |                      | ART UNIT               | PAPER NUMBER     |
| NEW YORK, NY 10017-5612            |                       |            | 1645                 | 0 =                    |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No.

Applicant(s)

Roberts et al.

## **Advisory Action**

Examiner

09/489,711

S. Devi, Ph.D.

1645

Art Unit



|               | The MAILING DATE of this communication appears on the cover sheet with the correspondence  | address  |
|---------------|--|--|
| There rejecti | REPLY FILED <u>Sep 29, 2003</u> FAILS TO PLACE THIS APPLICATION IN CONDITION FOR AL efore, further action by the applicant is required to avoid the abandonment of this application. A gion under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application and an ending timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continuin compliance with 37 CFR 1.114.  | proper reply to a final lication in condition for  |
| •             | THE PERIOD FOR REPLY [check only a) or b)]   |  |
| a)            | $\boxtimes$ The period for reply expires <u>6</u> months from the mailing date of the final rejection.   |  |
| b)            | The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the m final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF See MPEP 706.07(f).   | nailing date of the  |
| ext<br>ap     | stensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136 (tension fee have been filed is the date for purposes of determining the period of extension and the corresponding propriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statust in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later the ailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CF   | g amount of the fee. The<br>ory period for reply originally<br>on three months after the |
| 1.□           | A Notice of Appeal was filed on Appellant's Brief must be filed within the 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appearance of the appe | ne period set forth in<br>II.  |
| 2. X          | The proposed amendment(s) will not be entered because:   |  |
| (a)           | they raise new issues that would require further consideration and/or search (see NOTE believe)  | ow);   |
| (b)           | they raise the issue of new matter (see NOTE below);   |  |
| (c)           | they are not deemed to place the application in better form for appeal by materially reducin issues for appeal; and/or   | g or simplifying the   |
| (d)           | they present additional claims without canceling a corresponding number of finally rejected  | claims.  |
|               | NOTE: See Attachment.  |  |
| 3. 🗆          | Applicant's reply has overcome the following rejection(s):   |  |
| 4. 🗆          | Newly proposed or amended claim(s) would be allo a separate, timely filed amendment canceling the non-allowable claim(s).  | wable if submitted in  |
| 5. 🗆          | The a) $\square$ affidavit, b) $\square$ exhibit, or c) $\square$ request for reconsideration has been considered by application in condition for allowance because:   | t does NOT place the   |
| 6. 🗆          | The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues who the Examiner in the final rejection.   | sich were newly raised   |
| 7. 🛭          | For purposes of Appeal, the proposed amendment(s) a) $\boxtimes$ will not be entered or b) $\square$ will be entered or b) explanation of how the new or amended claims would be rejected is provided below or appear  |  |
|               | The status of the claim(s) is (or will be) as follows:   |  |
|               | Claim(s) allowed: None   |  |
|               | Claim(s) objected to: None   |  |
|               | Claim(s) rejected: <u>13-18 and 24-30</u>  |  |
| • □           | Claim(s) withdrawn from consideration: 19-23   |  |
| 8. 🗆          | The proposed drawing correction filed on is a) approved or b) disapple   |  |
| 9. 🗀          | Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s).  | 7/   |
| 10.⊠          | Other: Interview Summary (Paper no ,22).   | S. DEVI, PH.D. PRIMARY EXAMINER ART UNIT 1645  |

Application SN 09/489,711

Art Unit: 1645

## ATTACHMENT TO ADVISORY ACTION

## Item 2.

The newly added limitation/phrase, for example, to claims 13, 17 and 30: 'substantially free of cells of *E. rhusiopathiae*' was not presented previously, which changes the scope of the claims, thus requiring further consideration and/or a new search.

October, 2003

Application No. 09/489,711

S. Devi, Ph.D.

Applicant(s)

Interview Summary Examiner

Art Unit

Jniτ 1645

Roberts et al.



All participants (applicant, applicant's representative, PTO personnel): (1) S. Devi (PTO) (2) Mr. Peter Bernstein Date of Interview Oct 6, 2003 Type: a) X Telephonic b) Video Conference c) Personal [copy is given to 1) applicant 2) applicant's representative] Exhibit shown or demonstration conducted: d) X Yes e) No. If yes, brief description: After-final amendments of 08/29/03 and 09/29/03. Claim(s) discussed: None Identification of prior art discussed: Agreement with respect to the claims f) was reached. g) was not reached. h  $\cap$  N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: In response to the Office's inquiry as to which of the two after-final amendments to be considered in the instant case, Mr. Bernstein instructed the Examiner to disregard the after-final amendment filed 08/29/03. Mr. Bernstein instructed that the after-final amendment filed 09/29/03 be considered as the formal amendment along with three months extension of time. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) i) It is not necessary for applicant to provide a separate record of the substance of the interview (if box is checked). Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached

Examiner Note: You must sign this form unless it is

an Attachment to a signed Office action.

Examiner's signature, if required